



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. PATENT APPLICATION SERIAL NO..... 10/726,826  
FILING DATE ..... 3 December 2003  
INVENTORS ..... Andrew Thomas Forsberg and Loran Paprocki  
ASSIGNEE..... St. Jude Medical Puerto Rico B.V.  
EXAMINER..... Unknown  
ART UNIT ..... Unknown  
ATTORNEY'S DOCKET NO..... 47563.0017  
TITLE..... "Vascular Puncture Seal Anchor Nest"

### INFORMATION DISCLOSURE STATEMENT

To: Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

From: L. Grant Foster  
HOLLAND & HART LLP  
555 - 17<sup>th</sup> Street, Suite 3200  
P.O. Box 8749  
Denver, Colorado 80201  
Telephone: (801) 595-7830  
Facsimile: (801) 364-9124

The citations listed on attached PTO Form 1449 may be material to the examination of the subject application. Applicant submits copies of these references in compliance with the duty of disclosure defined in 37 C.F.R. §§1.56, 1.97-1.99. The Examiner is requested to review and make these references of record in the above-referenced application.

This submission does not represent that a search has been made or that no better art exists. Further, this submission does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art," Applicant reserves the right to present the relevant facts and law regarding such documents. Applicant reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicant respectfully requests expeditious examination and a favorable action on the merits.

Respectfully submitted,

Date: 22 DECEMBER 2003

  
\_\_\_\_\_  
Grant Foster  
Reg. No. 33,236



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. PATENT APPLICATION SERIAL NO. .... 10/726,826  
FILING DATE ..... 3 December 2003  
INVENTOR ..... Andrew Thomas Forsberg and Loran Paprocki  
ASSIGNEE ..... St. Jude Medical Puerto Rico B.V.  
GROUP ART UNIT ..... Unknown  
EXAMINER ..... Unknown  
ATTORNEY'S DOCKET NO. .... 47563.0017  
TITLE ..... "Vascular Puncture Seal Anchor Nest"

**TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

To: Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

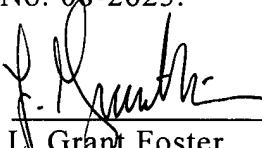
From: L. Grant Foster  
HOLLAND & HART LLP  
555 - 17<sup>th</sup> Street, Suite 3200  
P.O. Box 8749  
Denver, Colorado 80201  
Telephone: (801) 595-7830  
Facsimile: (801) 364-9124

Enclosed are the items listed below submitted regarding the matter identified above:

1. Transmittal Letter with Certificate of Mailing included
2. PTO Return Postcard Receipt
3. Information Disclosure Statement
4. Form PTO-1449 (2 Sheets)
5. Copy of Cited References

**Deposit Account Authorization** - The Commissioner is hereby authorized to charge payment of any applicable fees to Deposit Account No. 08-2623.

Date: 22 December 2003

By: 

L. Grant Foster  
Reg. No. 33,236

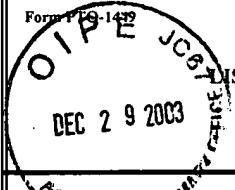
**CERTIFICATE OF MAILING**

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail or Express Mail, if the blank for Express Mail No. is completed below, in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below indicated date.

Express Mail No. \_\_\_\_\_

Date: 22 December 2003 Signature: Kathy Case  
Name: Kathy Case

<p style="text-align: center;">U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p style="text-align: center;"><b>LIST OF ART CITED BY APPLICANT</b> (Use several sheets if necessary)</p> <p style="text-align: right;">OCT 29 2003</p>					ATTY. DOCKET NO. 47563.0017		SERIAL NO. 10/726,826	
					APPLICANT: Andrew Thomas Forsberg and Loran Paprocki			
					FILING DATE: 3 December 2003		GROUP: Unknown	
<b>U.S. PATENT DOCUMENTS</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	6,547,806	04-15-2003	Ding, Ni	606/213		02-04-2000	
	AB	6,569,185	05-27-2003	Ungs, Mark T.	606/213	606/214	02-15-2001	
	AC	6,036,721	03-14-200	Harren, Ernst-Diethelm et al.	606/213		05-17-1999	
	AD	6,045,569	04-04-2000	Kensey, Kenneth et al.	606/213		01-29-1998	
	AE	6,048,357	04-11-2000	Kontos, Stavros	606/213		07-09-1998	
	AF	6,048,358	04-11-2000	Barak, Shlomo	606/213	606/215	07-13-1998	
	AG	5,941,897	08-24-1999	Myers, Gene E.	606/213	604/93	05-09-1997	
	AH	5,910,155	06-08-1999	Ratcliff, Keith et al.	606/213	606/142	06-05-1998	
	AI	5,861,004	01-19-1999	Kensey, Kenneth et al.	606/213		08-29-1997	
	AJ	5,662,681	09-02-1997	Nash, John E. et al.	606/213	604/285	04-23-1996	
	AK	5,716,375	02-10-1998	Fowler, Bradford C.	606/213	606/139	02-21-1996	
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
<b>OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	AR							
	AS							
	AT							
EXAMINER				DATE CONSIDERED				
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>								

 <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b> <b>LIST OF ART CITED BY APPLICANT</b> <small>(Use several sheets if necessary)</small> <b>DEC 29 2003</b>					ATTY. DOCKET NO. 47563.0017		SERIAL NO. 10/726,826	
					APPLICANT: Andrew Thomas Forsberg and Loran Paprocki			
					FILING DATE: 3 December 2003		GROUP: Unknown	
<b>U.S. PATENT DOCUMENTS</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,728,132	03-17-1998	Van Tassel, Robert A. et al.	606/213	606/191	04-08-1996	
	AB	5,741,223	04-21-1998	Janzen, Ernst et al.	604/15	604/60	09-12-1996	
	AC	5,437,631	08-01-1995	Janzen, Ernest	604/49	604/57	05-10-1993	
	AD	6,017,359	01-25-2000	Gershony, Gary et al.	606/213	606/192	06-17-1997	
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
<b>OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	AR							
	AS							
	AT							
EXAMINER				DATE CONSIDERED				
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>								